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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number 097683,104  
Filing Date November 19, 2001  
First Named Inventor Thomas C. Kienzle III  
Art Unit 3737  
Examiner Name  
Attorney Docket Number SUR3

Sheet 1 of 2

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SS		US-4,305,394	12-15-1981	Bertuch, Jr.	
		US-4,475,549	10-09-1984	Oh	
		US-4,632,111	12-30-1986	Roche	
		US-4,716,894	01-05-1988	Lazzeri, et al	
		US-4,994,064	02-19-1991	Aboczky	
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		US-5,251,127	10-05-1993	Raab	
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		US-6,302,890	10-16-2001	Leone, Jr.	
	US-				
	US-				

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature

Date

Considered

9/28/04

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/683,104
		Filing Date	November 19, 2001
		First Named Inventor	Thomas C. Kienzle III
		Group Art Unit	3737
		Examiner Name	
Sheet 2 of 2	Attorney Docket Number	SUR3	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issu number(s), publisher, city and/or country where published
SS		C. NIKOU, et al. "POP: Preoperative Planning and Simulation Software for Total Hip Replacement Surgery," published in the Proceedings of the Second International Conference on Medical Image Computing and Computer-Assisted Intervention, Cambridge, UK, 1999
SS		C. NIKOU, et al. "Description of Anatomic Coordinate Systems and Rationale for Use in an Image-Guided Total Hip Replacement System," published in the Proceedings of the Third International Conference on Medical Image Computing and Computer-Assisted Intervention, Pittsburgh, 2000
SS		S. NISHIHARA, et al. "Measurement of Pelvic Tilting Angle during Total Hip Arthroplasty Using a Computer Navigation System," published in the Proceedings of the Third International Conference on Medical Image Computing and Computer-Assisted Intervention, Pittsburgh, 2000

Examiner Signature	Date Considered
<i>[Signature]</i>	3/28/04

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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